

RESEARCH ANALYST

Terms of Service (TOS) & Client Consent

Issued by: *SHIVAJI PHADKE*

SEBI Registration No.: INH000016214

Website: www.investstocksofficial.com

Date of Registration: June 12, 2024

1. Definitions

- (a) *Owner / We / Us / Our* refers to **Shivaji Phadke**, a SEBI-registered Research Analyst entity providing research and advisory services.
 - (b) *User / Client / You / Your* refers to any individual or entity subscribing to the research services of Shivaji Phadke.
 - (c) *Parties* collectively refers to both **Shivaji Phadke** and the **Client**.
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2. Assent & Acceptance

- (a) By subscribing to or using our research services, you confirm that you have read, understood, and accepted these Terms of Service (TOS).
 - (b) If you disagree with any term, you may discontinue the service and request a refund (if applicable).
 - (c) Service access is granted only after accepting these Terms and any additional service-specific terms.
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3. Service Subscription & Obligations

- (a) Subscription to the services is voluntary and compliant with SEBI (Research Analyst) Regulations, 2014.
 - (b) Both parties must comply with SEBI laws, RA regulations, and any government notifications.
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4. Client Information & KYC

- (a) Clients must provide accurate personal and financial details as per SEBI guidelines.
 - (b) Supporting KYC documents must be verified periodically with the KRA.
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5. Client Consent & Awareness

By subscribing, clients confirm that:

- (a) They have read and understood SEBI (Research Analyst) Regulations, 2014.
- (b) They subscribe for personal use only and will exercise independent judgment.

Risk Factors & Disclaimers

- Investments in securities are subject to market risks.
 - Open positions without stop-loss or target levels can lead to heavy losses.
 - Market conditions can cause total or partial loss of capital.
 - SEBI registration or NISM certification does not assure returns.
 - No profit guarantees or compensation claims are permitted.
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6. Disclosures by SHIVAJI PHADKE

- **SEBI Reg. Name:** Shivaji Phadke
 - **SEBI Reg. No.:** INH000016214
 - **Website:** www.investstocksofficial.com
 - **Maximum Fee:** ₹1,51,000 + GST per annum (individual clients)
 - **Disclaimer:** No assurance of returns or guaranteed profits.
 - **Conflict of Interest:** None declared.
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7. Payment Terms

- Payments must be made via authorized modes only.
 - Pro-rata refunds may be issued per SEBI guidelines.
 - The firm is not liable for losses due to unauthorized persons or unofficial payment channels.
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8. Risk Factors

Investments carry risks including:

- Market, financial, operational, legal, and cyber risks.
 - Futures and Options involve higher risk and are suitable only for risk-tolerant investors.
 - There is no compensation for losses due to reliance on recommendations.
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9. Disclaimers

- Accuracy and reliability of research are not guaranteed.
- AI tools may be used to support analysis but carry data and system-related risks.
- Client confidentiality is always maintained.

10. Conflict of Interest & Compliance

- The Analyst complies fully with SEBI's conflict disclosure requirements.
 - No financial interests exist with covered companies.
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11. Model Portfolio

- Provided for informational purposes only and compliant with SEBI regulations.
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12. Client-Level Segregation

- A client cannot receive both research and distribution services simultaneously.
 - Clients must choose one service category at onboarding.
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13. Grievance Redressal

Designated Officer: Shivaji Phadke

 Email: shivaji.phadke@gmail.com

 Phone: +91 74993 47776

Complaints resolved within **7 business days**.

If unresolved, escalate to SEBI:

- [SCORES Portal](#)
 - [SEBI ODR Portal](#)
 - Toll-Free: 1800 22 7575 / 1800 266 7575
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14. Service Suspension & Termination

We reserve the right to suspend or terminate service in case of:

- Violation of TOS
 - Regulatory directives
 - Non-payment beyond grace periods
Refunds (if applicable) will be on a pro-rata basis.
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15. Jurisdiction & Governing Law

All disputes shall be governed by Indian law and subject to **Vadodara, Gujarat** jurisdiction.

16. Amendments

Terms may be updated per SEBI regulations. Continued use of services implies acceptance of revised terms.

17. Indemnification

Clients agree to indemnify **Shivaji Phadke** and team from any claims arising due to breach or misuse of the service.

18. Additional Information

Refer to the official website for:

- Investor Charter
 - MITC
 - Risk Disclosure
 - Refund & Privacy Policy
-  www.tradewithmohitagrawal.com